



Legislative and Policy Engagement Ground Rules
Adopted by the AWEA Board of Directors July 9, 2013
Amended by the AWEA Board of Directors *December 14, 2017*

The following ground rules are established and agreed to in order to strengthen our collective trust, collaboration, and effectiveness as the policy leadership of the wind industry. Accordingly, each member of the Board, Committee and Working Group of AWEA (hereafter "Members") must agree to these ground rules.

In this spirit, consistent with AWEA's Antitrust Guidelines, Members, their companies and AWEA commit themselves to:

1. **Good Intentions**: All Members will strive in good faith to develop unified positions on major policies and legislative and strategies to be recommended by the Committee and/or Working Group and ultimately approved by the AWEA Board.
2. **Accurate Representation**: All Members will communicate the AWEA policy positions accurately and will not misrepresent the position of AWEA, other Members or others when working with officials and others.
3. **No Surprises**: All Members will inform the appropriate Board, Committee or Working Group Chair and staff liaison if their company's position is substantively different than AWEA's approved position before advancing that position.
4. **Leading Despite Disagreements**: In the event of disagreements on policy, AWEA will pursue the agreed upon majority position. Accordingly, AWEA may establish certain Committees and Working Groups that have policy goals to which all Members of those Committees and Working Groups should agree. When committees are shaping a position with which a member company disagrees and that member company decides to advocate against the approved committee position, that company will divulge its position promptly to the committee chair, adhere to AWEA's confidentiality policy, be transparent about intentions to advocate for a conflicting position, and willingly remove themselves from committee meeting discussion where information regarding that position will be discussed. It is expected that such member company will not use the intelligence garnered from that committee to advocate against committee positions.
5. **Side-bar Discussions**: Acknowledging that new ideas that may be inconsistent with an AWEA position may surface during discussions with officials to the extent consistent with applicable law, Members agree to honor the spirit of these rules by discussing the concepts involved with the relevant AWEA Committee Chair and staff liaison on a parallel track.
6. **Confidentiality**: All Members agree to protect the confidentiality of information that is shared within AWEA.
7. **Consult**: Whenever in doubt about any of these ground rules, Members will consult with the Chair and/or the CEO.
8. **Accountability**: The Chair, with the support of the CEO, will appropriately enforce these ground rules, including removal from the Board, Committee or Working Group. If deemed necessary, the Chair may establish an Ethics Committee to review compliance.

Member: _____

AWEA CEO: 

Date: _____

Date: December 14, 2017